

Received  
JAN 6 1958

THE FORD FOUNDATION  
477 MADISON AVENUE  
NEW YORK 22, N. Y.

December 31, 1957

Professor Henry L. Roberts, Chairman  
Research Project on Hungary  
605 West 115th Street  
New York 25, New York

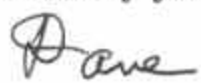
Dear Henry:

Mr. Swayzee has asked me to acknowledge and thank you for your letter of December 18, enclosing a preliminary on the Research Project on Hungary which he has shared with me.

It is good to have this report and to know that you are safely through the complex and exciting interview stage and into the analysis phase of the project. Let us know later on how you are coming on that.

I can certainly sense that behind some of the references in Dallin's report there are some interesting stories. When the time is right, I am going to try to draw him out on those.

Sincerely yours,



David C. Mumford

Received  
JAN 8 1958

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INTERNATIONAL AFFAIRS PROGRAM

January 7, 1958

Professor Henry L. Roberts  
Chairman, Executive Committee  
Research Project on Hungary  
Columbia University  
605 West 115th Street  
New York 25, N. Y.

Dear Henry:

Many thanks for sending me a copy of Alex  
Dallin's report on the Research Project on Hungary.

I see that it has not been easy going all  
the way.

We must get together soon.

Sincerely,

  
Shepard Stone

April 23, 1958

Professor Schuyler Wallace  
School of International Affairs  
429 West 117 Street  
New York 27, New York

Dear Professor Wallace:

I am submitting for your approval and subsequent transmittal to the Ford Foundation, the following report on the Columbia University Research Project on Hungary, as of the end of March 1958.

The completed first phase of the Project, the gathering and recording of the data, has been admirably summarized in a memorandum to me by the then Director, Alexander Dallin (see Annex 1). Copies of this memorandum have already been sent to the Ford Foundation and I believe there is no need for me here to review again that stage of our work.

The autumn was spent in typing and stencilling the interview protocols and in organizing the next phase of the Project. Shortly before the Christmas holidays, a research seminar was established as a device for carrying on the preliminary analysis and write-up of the material. This seminar, made up of faculty members from the Faculty of Political Science, graduate students, and members of the Project's staff, is now in active operation. At each meeting, one or more working papers are presented and discussed (for the first group of research assignments, see Annex 2). On the whole, the seminar has proved to be a useful means of exploring a subject of such size and complexity and offering so many methodological difficulties. The pace is somewhat slower than was hoped and it is evident that a number of important topics will not have been covered by the end of the academic year. Nevertheless, I believe that enough will have been achieved to permit us to undertake the next phase of work during the summer: the drafting of a general report.

This report, tentatively planned as a volume of 250-300 pages summarizing and interpreting the Project's findings, will be drafted by Professors Dallin, Zinner and myself. It had been our hope to have a fully completed and publishable study by the end of the summer. It appears more likely, however, that it will have to be regarded as a working draft, subject to revision, amplification, and verification against the materials in the course of the autumn. We are finding in the work of the seminar that many of the most interesting issues do not fully emerge until a written analysis is attempted, and it is probable that the act of drafting the general report will itself raise a number of new questions requiring some further work, very possibly of a quantitative or statistical nature. Indeed, I have the impression that many of the quantitative potentialities of these interviews can be realized only at a quite late stage of analysis.

Further utilization of the materials after the completion of the general report will be partly a matter of finances (see below). A number of scholars who have been associated with the Project have reported their plans to write monographs and articles based, in part at least, upon the interviews. We are also in reasonably close contact with other groups who have been making a study of recent Hungarian developments, some of them with their own interview projects, and it appears likely that we shall be able to be of mutual benefit to one another. Beyond this, it is obviously desirable to organize the interview materials into some sort of permanent archive available to scholars in the future. At the least this will require an adequate index and catalogue and the preparation of a number of copies for deposit in leading libraries. It may be that the organization of such an archive can best be done in cooperation with the other groups and agencies who have also been carrying on interviews with Hungarian refugees. Some such general repository of raw and semi-processed data would be of considerable and enduring value. This possibility is currently being explored.

With regard to finances, the Project had, as of April 15, 1958, approximately \$34,500 remaining of the original grant (for a brief statement, see Annex 3). Tentatively, this has been allocated in the following way.

Publication	\$ 8,000
Possible additional staff till summer	6,000
For summer and autumn work, chiefly salaries	10,500
Coding, indexing, etc.	3,500
Supplies, equipment, travel, rent, etc.	6,500
	<u>\$34,500</u>

It is evident that at best the funds remaining will not cover more than the publication of the general report, and if that should require extensive additional work in the autumn, they may prove inadequate for that purpose. I should feel easier about the prospects of making the Project as a whole as generally useful as it should be --- and I believe that the interview materials really contain a great deal of value --- if there were opportunities for some additional funds in case of need, perhaps in the order of \$10,000 - \$25,000. It might therefore be appropriate to inquire of the Ford Foundation, a) if they would be prepared to consider an application for a supplementary grant, and b) when such an application should be submitted.

Sincerely yours,

Henry L. Roberts  
Chairman, Executive Committee

## Annex 3

## BUDGET

Salaries (past salaries and all salary mortgages to June 30, 1958 including Miss Rezler)	\$	85,798.44
European operation (all expenses, secretaries' salaries, outstanding items)		34,020.20
Travel, overseas and U. S.		8,305.12
Columbia University Overhead	11,500.00	
Rent	2,932.74	
		14,432.74
New York Operations (respondents' revolving fund, petty cash, shipments, supplies, re- pairs, equipment, part time help, etc.)		8,251.97
		<hr/> 150,808.47
less reimbursements		386.66
		<hr/> \$ 150,421.81

Ford Foundation grant	\$	185,000.00
Spent to date		150,421.81
		<hr/>
Remaining funds	\$	34,578.19
		<hr/> <hr/>

Annex 2

Government 362 A II

Columbia University  
RESEARCH PROJECT ON HUNGARY  
605 West 115th Street  
New York 25, N.Y.

FACULTY: Professor Henry L. Roberts, Russian Institute  
Alexander Dallin, " "  
Paul E. Zinner, Program on East Central Europe

STUDENTS:

Pratt Byrd -- Economic Discontents in Hungary and their Importance.

Harris Coulter -- The Hungarian Peasantry -- attitudes, change in status, regulations.

Stanley Gutterman -- The Role of Economic Organizations in the Political Controls in Hungary -- ability of organizations to support opposition to regime.

William McCagg, Jr. -- Ideology -- respondents' images thereof, its attraction, conflicts with reality, nature of the failure of its development, pattern of disillusionment.

Eleanor A. Murray -- Education -- chronology of control thereon, as a tool of social mobility (how is choice made), students' discontent.

Florence C. Parkinson -- Individuals' Reaction to Police and Other Political Controls.

Jay Schulman -- Analysis of On Communism by Imre Nagy.

Roger Shaw -- Motivations in Socialist Society -- family and other relationships, how latter relate to the official line.

John A. Smith -- Political Control from the Party through the Police and Army.

STAFF: Andre Varchaver, Acting Director  
Rainer Kochne, Research Associate  
John Madge, \*Research Associate  
Henry Stockhold, Research Assistant  
Alexander Weinstock, Research Assistant

\*Social Mobility -- class and status changes, correlation of respondents opinion of their class position with the facts.